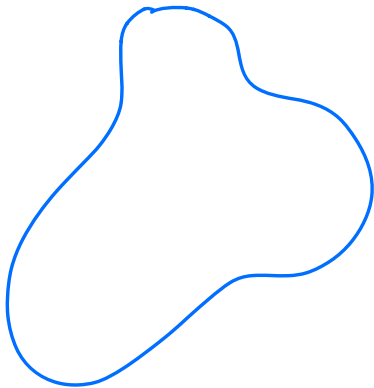


# Holomorphic Dynamics - Lecture 15

## Local Connectivity

Carathéodory theory (1913)

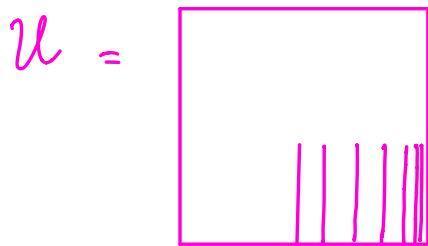


$$U \subsetneq \mathbb{C}$$

simply connected and open

Then there exists  $\varphi: U \rightarrow \mathbb{D}$  which is biholomorphic

**Q** Does  $\varphi$  extend to  $\partial U$ ?  
Does  $\varphi^{-1}$  extend to  $\partial \mathbb{D}$ ?



$\partial U$  is not homeo to  $\partial \mathbb{D}$

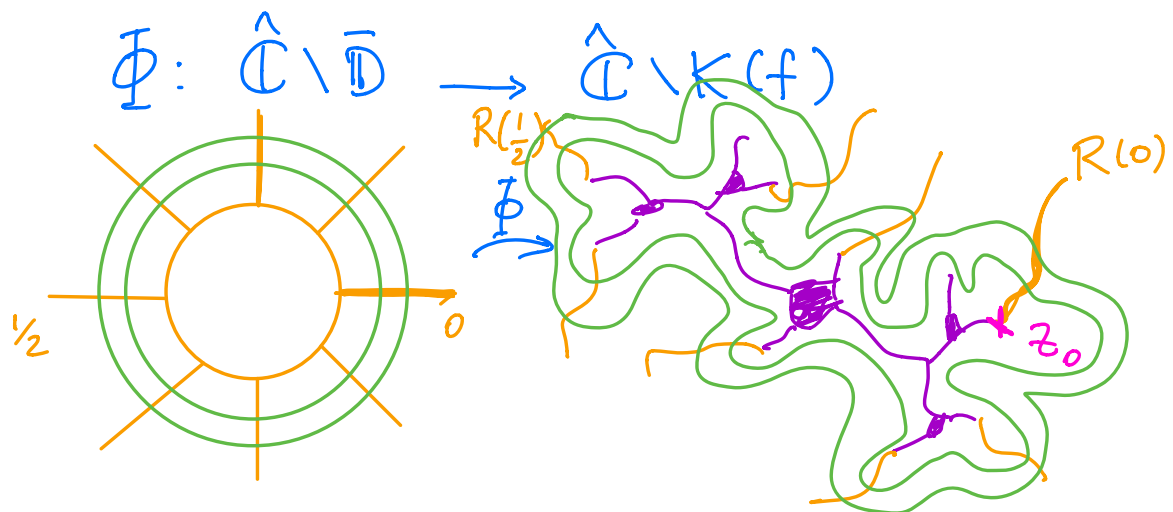
Case we're interested in:

$f: \mathbb{C} \rightarrow \mathbb{C}$  polynomial of degree  $d$

Def.:  $K(f) := \{z : (f^n(z))_{n \geq 0} \text{ is bounded}\}$

If  $K(f)$  is connected,  $\hat{\mathbb{C}} \setminus K(f) \cong \mathbb{D}$

Böttcher map:



Def.: Given  $\theta \in \mathbb{R}/\mathbb{Z}$ , the external ray at angle  $\theta$  is

$$R(\theta) := \{ \Phi(r e^{2\pi i \theta}), r > 1 \}$$

In fact:  $\Phi$  semiconjugates  $z \mapsto z^d$  to  $f$

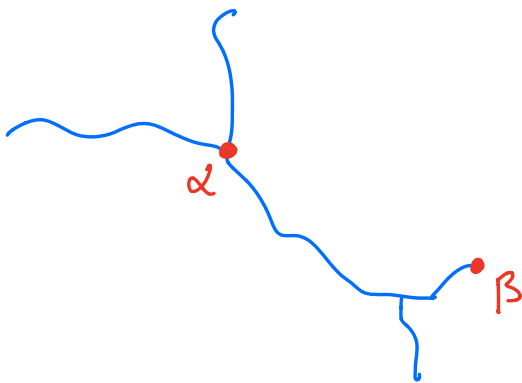
$$\begin{array}{ccc} \hat{\mathbb{C}} \setminus \bar{\mathbb{D}} & \xrightarrow{\Phi} & \hat{\mathbb{C}} \setminus K(f) \\ \uparrow z \mapsto z^d & & \uparrow f \\ & & \Phi(z^d) = f(\Phi(z)) \end{array}$$

Def.: We say that  $R(\theta)$  lands if  
 $\lim_{r \rightarrow 1^+} \Phi(re^{2\pi i \theta})$  exists.

Q For what  $f$  and what  $\theta$  does  $R(\theta)$  land?

E.g.: if  $R(\theta)$  lands at  $z_0$   
then  $R(d.\theta)$  lands at  $f(z_0)$   
hence  $f(z_0) = z_0$

Def.: The landing point of  $R(\theta)$  is called the  $\beta$  fixed point.



Suppose that  $R(\theta)$  lands for all  $\theta$ .  
Then we have defined

$$\gamma: \mathbb{R}/\mathbb{Z} \longrightarrow J(f)$$

$$\gamma(\theta) := \lim_{r \rightarrow 1^+} \Phi(re^{2\pi i \theta})$$

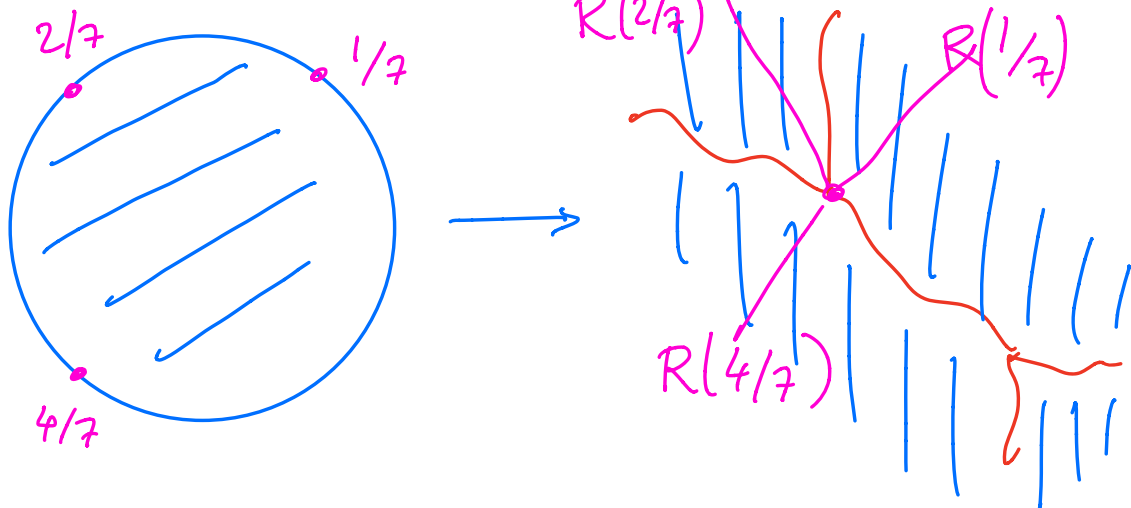
Def.:  $\gamma$  is called Carathéodory loop and satisfies

$$\gamma(d\theta) = f(\gamma(\theta))$$

Cor.: if  $\theta$  has period  $p$  under  $\theta \mapsto d\theta \pmod{1}$ , then  $\gamma(\theta)$  has period dividing  $p$ .

Pf.:  $d^p \theta = \theta \pmod{1}$   
 $\gamma(d^p \theta) = \gamma(\theta)$   
 $\quad \quad \quad \uparrow$   
 $\quad \quad \quad f^p(\gamma(\theta))$

Note:  $\gamma: \mathbb{R}/\mathbb{Z} \cong S^1 \longrightarrow J(f)$   
 need not be a homeomorphism.



Theorem There exist polynomials for which not all rays land (Milnor)

Theorem All rational rays land.

Theorem If  $f$  is hyperbolic, then all rays land.

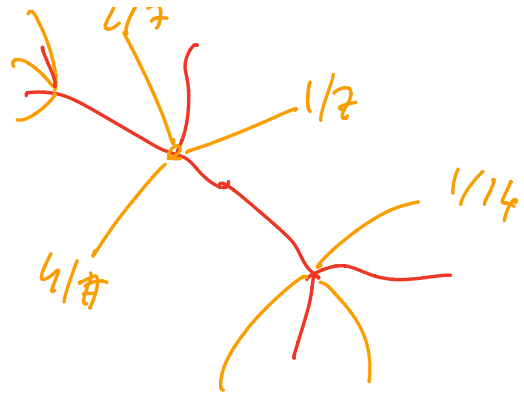
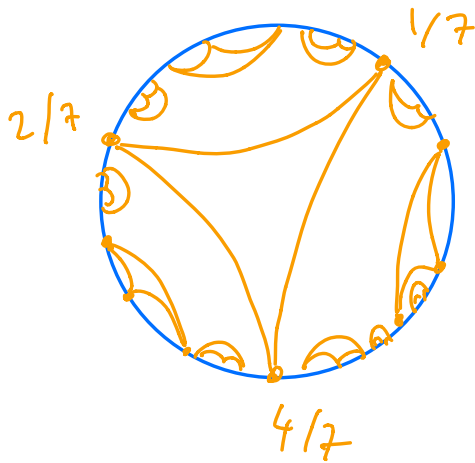
Prop.: If the Carathéodory loop  $\gamma$  is continuous, then we can define an equivalence relation  $\sim$  on  $\mathbb{R}/\mathbb{Z}$  as

$$\theta_1 \sim \theta_2 \text{ if } R(\theta_1) \text{ lands at same point as } R(\theta_2)$$

and  $\gamma$  induces a homeomorphism

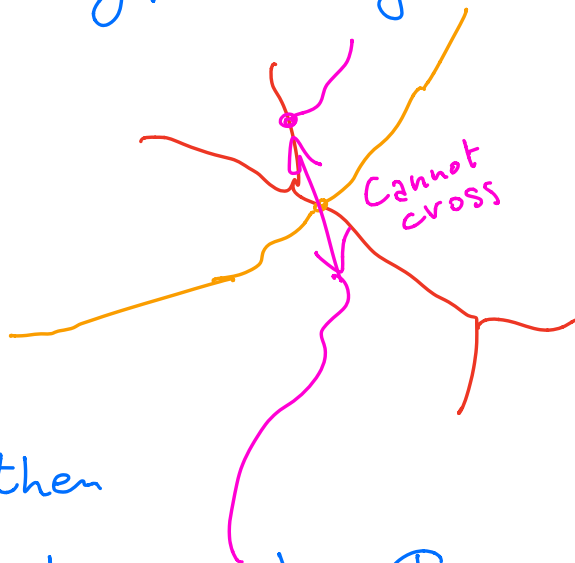
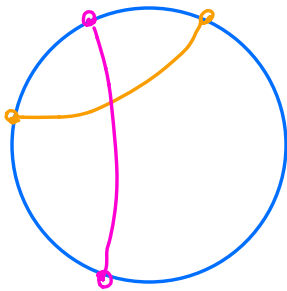
$$\mathbb{R}/\mathbb{Z} / \sim \xrightarrow{\sim} J(f)$$

Note: By connecting the angles  $\theta$  with the same landing point on  $\partial\mathbb{D}$  by hyperbolic geodesics in  $\mathbb{D}$ , we obtain a lamination



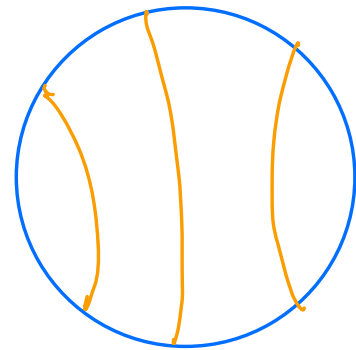
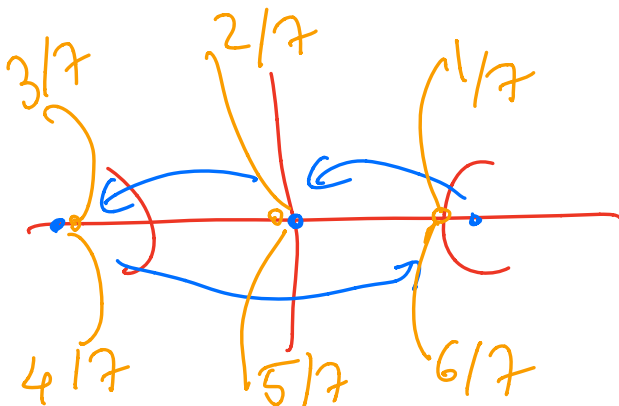
Def: A lamination of  $\mathbb{D}$  is a closed union of disjoint hyperbolic geodesics in  $\mathbb{D}$ .

disjointness



If  $\theta_1 \sim \theta_2$  then

$\overline{R(\theta_1)} \cup \overline{R(\theta_2)}$  disconnects  $\mathbb{D}$ .



## Equipotential lines

Def.: For  $z \in \mathbb{C}$ ,  
$$G(z) := \lim_{n \rightarrow \infty} \frac{\log^+ |f^n(z)|}{d^n}$$

potential function

$$G(z) > 0 \iff z \notin K(f)$$

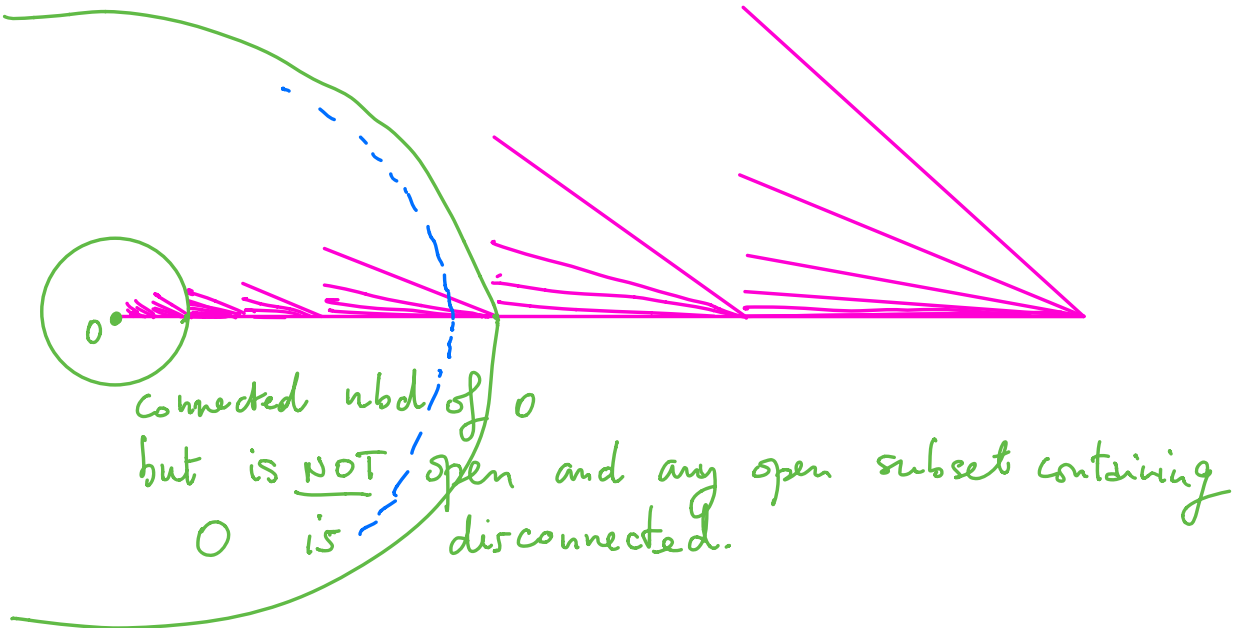
$$\log^+(x) := \max\{\log(x), 0\}$$

The lines  $\{G(z) = c\}$  for  $c > 0$  are called equipotential lines and are transverse to external rays.

Def.: A top. space  $X$  is LOCALLY CONNECTED at  $x$  if there exist arbitrarily small connected neighbourhoods of  $x$ .

Note we do not assume the nbds to be open, but just to contain an open containing  $x$ .

Rmk There exist spaces which are locally connected but are not openly locally connected.



Lemma  $X$  is locally connected at every  $x \in X$  if and only if every open subset of  $X$  is a union of connected open subsets.

Lemma If a compact metric space  $X$  is locally connected, then it is locally path connected. Hence every connected component of  $X$  is path connected.

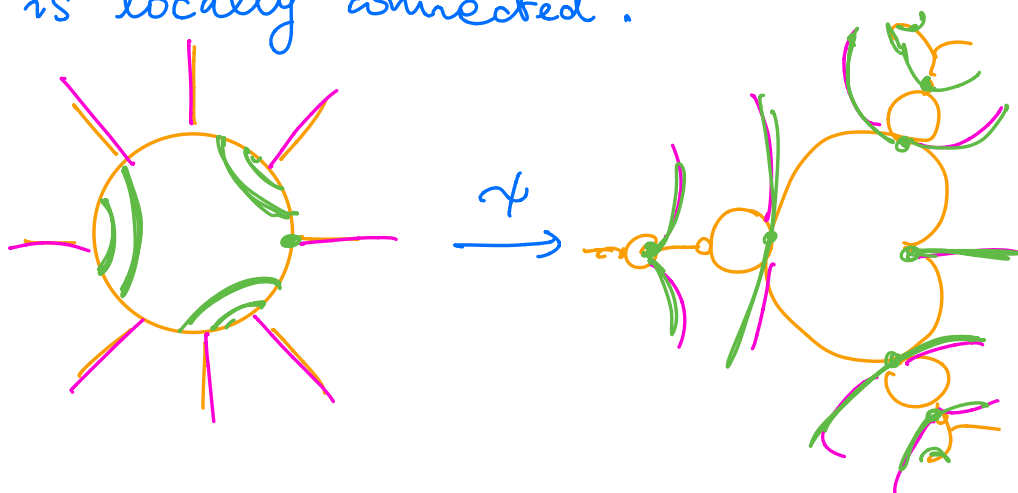
Lemma Any continuous image of a compact locally connected space is compact and locally connected.

Conjecture (MLC)

The Mandelbrot set is locally connected.

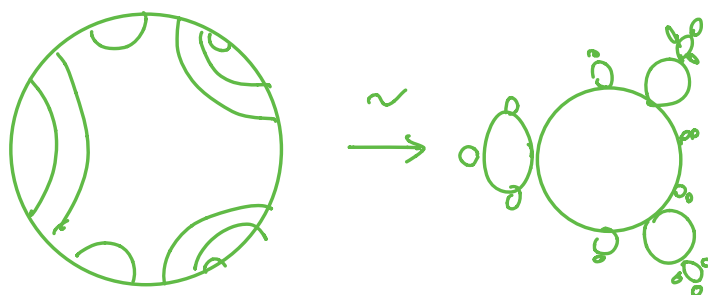


Thm (Caratheodory) The inverse Riemann map  $\psi: D \rightarrow U$  extends continuously to a map from the closed disk onto  $\bar{U}$  if and only if the boundary  $\partial M$  is locally connected.



If  $\partial M$  is locally connected, then  $\psi: \hat{\mathbb{C}} \setminus \mathbb{D} \rightarrow (\hat{\mathbb{C}} \setminus M) \cup \partial M$  and it induces an identification

$$\hat{\psi}: S^1 / \sim \xrightarrow{\sim} \partial M,$$



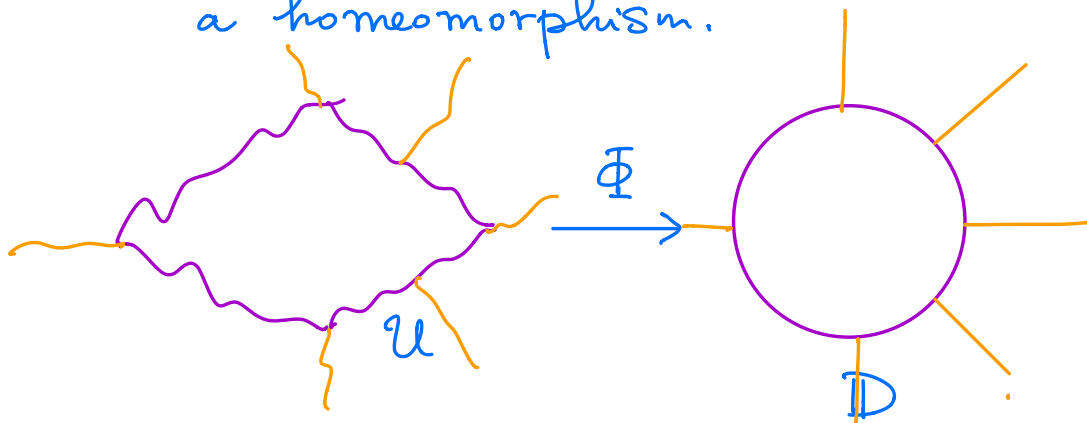
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MODEL

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MODEL"

If MLC holds,  $\hat{\psi}$  is a homeomorphism between a quotient of the circle and  $\partial M$ .

Theorem If the boundary of  $U$  is a Jordan curve, the Riemann map extends to a homeomorphism  $\bar{U} \rightarrow \bar{\mathbb{D}}$ .

E.g.: if  $c$  is in the main cardioid, then  $J(f_c)$  is a Jordan curve, hence the lamination is empty and the Carathéodory loop is a homeomorphism.



Thm (Beurling) The set of  $\theta$  s.t. the ray at angle  $\theta$  does not land has Hausdorff dimension 0 (in particular it has measure 0).